

FORM HDP-1449 (Based on Form PTO-1449)	ATTORNEY DOCKET NO. 0102/0137	SERIAL NO. JC869 09/66
PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)		
Sheet 1 of <u>1</u>	FILING DATE Sept. 20, 2000	GROUP
APPLICANT Yasuhiro UEKI		

FOREIGN PATENT DOCUMENTS							
						Translation	
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Yes	No
	<i>J.P.</i>	1-21764	1/25/89	Japan Aranou et al.		X	
	<i>J.P.</i>	3-296976	12/27/91	Japan Sakuma		X	
	<i>J.P.</i>	6-139696	5/20/94	Japan Nagumo		X	
	<i>J.P.</i>	10-92158	4/10/98	Japan Kaneshige et al.		X	

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)		
Ref. Desig.	Examiner's Initials	
	J	

Examiner: John L. Ari

Date Considered: 3/11/02

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for Form 1449A/PTO (Modified)

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

MAR 29 2002

Sheet 1

of 2

Application Number	09/668,408
Filing Date	9/22/00
First Named Inventor:	Ellison
Group Art Unit	2183
Examiner Name	

Attorney Docket Number 042390.P8628X

U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code ²	Kind (If known)			
		4,278,837		Best	7/14/81	
		4,521,852		Guttag	6/4/85	
		5,022,077		Bealkowski et al.	6/4/91	
		5,255,379		Melo	10/19/93	RECEIVED
		5,293,424		Holtey et al.	3/8/94	APR 03 2002
		5,421,006		Jablon et al.	5/30/95	
		5,459,869		Spilo	10/17/95	Technology Center 2100
		5,473,692		Davis	12/5/95	
		5,479,509		Ugon	12/26/95	
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		5,657,445		Pearce	8/12/97	
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		5,835,594		Albrecht et al.	11/10/98	
		5,844,986		Davis	12/1/98	

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Exam. Initial*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (If known)				
		EP	1146715		European Patent Application	10/17/01		
		WO	0062232		PCT	10/19/00		
		WO	9905600		PCT	2/4/99		
		WO	9957863		PCT	11/11/99		
		WO	9844402		PCT	10/8/98		

Examiner Signature

John T. Allen

Date Considered

3/31/04

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Substitute for Form 1449A/PTO (Modified)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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U.S. PATENT & TRADEMARK OFFICE

Sheet 1

of 1

Application Number	09/668,408
Filing Date	September 22, 2000
First Named Inventor:	Carl M. Ellison
Group Art Unit	2183
Examiner Name	Unassigned
Attorney Docket Number	042390.P8628X

U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. ¹	U.S. Patent Document	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (If known)		
		6,505,279		Phillips et al.	01-07-2003
		5,079,737		Hackbarth	01-07-1992
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		6,192,455		Bogin et al.	02-20-2001
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FOREIGN PATENT DOCUMENTS

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		Office ³ Number ⁴ Kind Code ⁵ (If known)			T ⁶
		WO 97/29567	Fortress U & T Ltd.	08-14-1997	
		WO 01/75565	Intel Corporation	10-11-2001	
		WO 01/75595	Intel Corporation	10-11-2001	
		WO 01/27723	Hewlett-Packard Company	04-19-2001	
		WO 01/27821	Hewlett-Packard Company	04-19-2001	

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T. A. O. aran

Date Considered

3/31/04

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5

Substitute for Form 1449A/PTO (Modified)				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <small>Use as many sheets as necessary</small>				Application Number	09/668,408
NOV 22 2002				Filing Date	September 22, 2000
				First Named Inventor:	Carl M. Ellison
				Group Art Unit	2131
				Examiner Name	Unassigned
Sheet	2	of	2	Attorney Docket Number	042390P8628x
OTHER ART – NO PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ²
<i>J.P.</i>		GOLDBERG, R., "Survey of virtual machine research," IEEE Computer Magazine 7(6), pp. 34-45, 1974.			
<i>J.P.</i>		GUM, P.H., "System/370 Extended Architecture: Facilities for Virtual Machines," IBM J. Research Development, Vol 27, Number 6, pp. 530-544, November 1983			
<i>J.P.</i>		ROSENBLUM, M. "Vmware's Virtual Platform: A Virtual Machine Monitor for Commodity PCs," Proceedings of the 11th Hotchips Conference, pp. 185-196, August 1999.			
<i>J.P.</i>		LAWTON, K., "Running Multiple Operating Systems Concurrently on an IA32 PC Using Virtualization Techniques," http://www.plex86.org/research/paper.txt ; 11/29/1999; pp. 1-31.			
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Examiner Signature	<i>Jaglin J. Aram</i>	Date Considered	<i>3/31/02</i>
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Examiner Signature		Date Considered	5/31/021
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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of

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Attorney Docket Number

09/668,408

Filing

8/22/00

Filing Date

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First Named in

Carl

Examiner Name _____

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Signature**

Date Considered
3/31/04

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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2

Complete if Known	
Application Number	09/668,408
Filing Date	9/22/00
First Named Inventor:	Carl M. Ellison
Group Art Unit	2183
Examiner Name	

Attorney Docket Number

042390.P8628X

OTHER ART - NO PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
V.P.		"M68040 USER'S MANUAL", 1993, MOTOROLA INC., page 1-5 – page 1-9, page 1-13-page 1-20, page 2-1-page 2-3, page 4-1, page 8-9-page 8-11	
		"INTEL 386 DX MICROPROCESSOR 32-BIT CMOS MICROPROCESSOR WITH INTEGRATED MEMORY MANAGEMENT", 12/31/95, INTEL INC., page 32-56; figure 4-14	
		JOE HEINRICH: "MIPS R4000 MICROPROCESSOR USER'S MANUAL", 1994, MIPS TECHNOLOGY INC., MOUNTAIN VIEW, CA, pages 67-79	
		BERG C: "HOW DO I CREATE A SIGNED APPLET?", DR. DOBB'S JOURNAL, M&T PUBL., REDWOOD CITY, CA, US, VOL. 22, NO. 8, 8 '97, page 109-111, 122	
J.P.		GONG L ET AL: "GOING BEYOND THE SANDBOX: AN OVERVIEW OF THE NEW SECURITY ARCHITECTURE IN THE JAVA DEVELOPMENT KIT 1.2", PROCEEDINGS OF THE USENIX SYMPOSIUM ON INTERNET TECHNOLOGIES AND SYSTEMS, MONTEREY, CA 12 '97, pages 103-112	RECEIVED
			JUN 05 2002
			Technology Center 2100

Examiner Signature

Jagle J. aron

Date Considered

3/21/04

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**INFORMATION DISCLOSURE
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Sheet

1

of

2

Attorney Docket Number

09/668,408

Filing

9/22/00

First Named Inventor:

Carl M. Ellison

Group Art I Unit

2183

Examiner Name _____

Unassigned

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Signature

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3/31/04

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(including any exhibits or appendices)

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Sheet AN 2 of 2

Complete if Known

Application Number 09/668,408

Filing Date 9/22/00

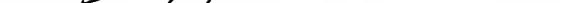
First Named Inventor: Carl M. Ellison

Group Art Unit 2183

Examiner Name

Attorney Docket Number 042390.P8628X

OTHER ART – NO PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	3/21/04
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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18
Stylesheet Version v18.0

Title of Invention	Managing a Secure Environment Using a Chipset in Isolated Execution Mode
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Application Number: 09/668408



Confirmation Number: 2377

First Named Applicant: Carl Ellison

Attorney Docket Number: 42P08628X

Art Unit: 2131

Examiner: Ayaz R. Sheikh

Search string: (20030018892 or 4162536 or 4247905 or 4276594 or 4307447 or 4319323 or 4347565 or 4759064 or 4795893 or 4825052 or 4907270 or 4907272 or 4910774 or 5007082 or 5139760 or 5317705 or 5319760 or 5434999 or 5437033 or 5442645 or 5459867 or 5504922 or 5511217 or 5522075 or 5528231 or 5533126 or 5566323 or 5606617 or 5720609 or 5721222 or 5737604 or 5796835 or 5825875 or 5854913 or 5867577 or 5900606 or 5901225 or 5903752 or 5970147 or 6061794 or 6075938 or 6092095 or 6115816 or 6182089 or 6212635 or 6222923 or 6252650 or 6269392 or 6272637 or 6308270 or 6314409 or 6330670 or 6357004 or 6363485 or 6374286 or 6374317 or 6378072 or 6389537 or 6397242 or 6412035 or 6421702 or 6435416 or 6445797 or 6463535 or 6463537 or 6507904 or 6535988 or 6557104 or 6633963 or 6633981).pn.

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US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
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	2	4162536	1979-07-24	Morley, Richard E.			
	3	4247905	1981-01-27	Yoshida, Yukihiro , et al.			
	4	4276594	1981-06-30	Morley, Richard E.			
T.P	5	4307447	1981-12-22	Provanzano, Salvatore R., et al.	711	166	

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	7	4347565	1982-08-31	Kaneda, Saburo , et al.
	8	4759064	1988-07-19	Chaum,
	9	4795893	1989-01-03	Ugon, Michael
	10	4825052	1989-04-25	Chemin, Francois , et al.
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	14	5007082	1991-04-09	Cummins, Mary T.
	15	5139760	1994-06-07	Mason, Andrew H., et al.
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	19	5437033	1995-07-25	Inoue, Taro , et al.
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	30	5721222	1998-02-24	Bernstein, Peter R., et al.
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	39	5970147	1999-10-19	Davis, Derek L., et al.
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43	6115816	2000-09-05	Davis, Derek L.
44	6182089	2001-01-30	Ganapathy, Narayanan , et al.
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51	6314409	2001-11-06	Schneck, Paul B., et al.
52	6330670	2001-12-11	England, Paul , et al.
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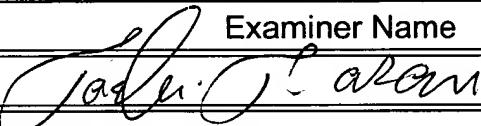
Remarks

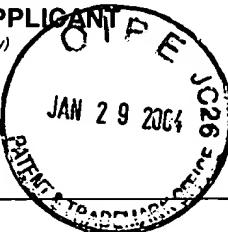
Note: Remarks are not for responding to an office action.

Applicants, in accordance with their duty of disclosure under 37 CFR 1.56 and in accordance with 37 CFR 1.97(b)(3), hereby submit this Electronic Information Disclosure Statement citing U.S. Patent Documents for consideration by the Examiner. Pursuant to 37 CFR 1.97, the submission of this Electronic Information Disclosure

Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability. This Electronic Information Disclosure Statement is being filed prior to a substantive examination of the claims. Pursuant to 37 CFR 1.97(b), no fee should be required for the filing of this Electronic Information Disclosure Statement. In the event it is determined that a fee is due, please charge the fee to Deposit Account 02-2666. Applicants respectfully request that the cited documents be considered and that the form be initialed by the Examiner to indicate such consideration and a copy thereof be returned to Applicants' attorney of record.

Signature

	Examiner Name	Date
		3/31/04

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <small>(Use as many sheets as necessary)</small>		Complete if Known	
		Application Number	09/668408
		Filing Date	September 22, 2000
		First Named Inventor	Ellison, Carl
		Group Art Unit	2131
		Examiner Name	Sheikh, Ayaz
Sheet 1 of 2		RECEIVED <small>FEB 02 2004</small> Attorney Docket No: 42P08628X Technology Center 2100	

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Sheet 2 of 2		Attorney Docket No: 42P08628X Technology Center 2100	

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